

Welcome, Fred Potts

Your rep accounts:

R1	Dolores Bonner	
R2	Dolores Bonner	Secondary
R3	Joint w/Brent Putman	Joint
R4	Susan McMillan	
R5	Kent Clarkson	

Related accounts:

R6	Ashley Golden	Supervised	
R7	John Hopkins	Assisted	
RVSY	House Rep	House	Assisted
RVSX	House Rep	House	Supervised
R10	Frank Palafox	Assisted	

Objectives

Liquidity Needs

Important

Investment Time Horizon

Intermediate (6-10 yrs)

Retirement Income Sources other than Social Security



Pension



IRA



Annuity



Other

Current Marginal Tax Bracket

over 28%

Overall Risk Tolerance

Conservative

General Investment Knowledge

Good

Specific Investment Knowledge



Stocks



Bonds



Mutual Funds



Options



Variable Contracts



Limited Partnerships



Investment Property

Overall Investment Objective -- Please choose one or more objectives in order of importance

Capital Preservation

Speculation >

Income

Growth

Other >

1. Capital Preservation

2. Income

3. Growth



Exit

Affiliations

Is the account maintained for a current or former Politically Exposed Person or Public Official (includes U.S. and Foreign Individuals)?



Yes



No

Is the account maintained for a Foreign Financial Institution as defined by Title 30 of the Code of Federal Regulations?



Yes



No

Is this account a Foreign Bank organized under foreign laws and located outside of the United States as defined by Title 31 of the Code of Federal Regulations?



Yes



No

Choose a Form Page

Close

Account Info

Applicants

Financials

Objectives

Holdings

Affiliations

Cash - Securities Handling / Interested Parties

Brokerage Agreement

Account Signatures

Signature Test

Client Signature

Clear

Done

2012-12-13

Representative Signature

Dolores Bonner
2012-12-13

2012-12-13

Principal Signature

Back
Dolores Bonner
R1
GA
Home

Brokerage Account
 Lewis, Edward

Applicants

Primary Applicant

Type

Name

Mr. Edward Lewis Edit this

Personal / Demographic Info

DOB: 1955-04-15, SSN: 123-45-6789, retire at 72, married, 2 dependents Edit this

Govt issued ID

123-45-6789, issued 2002-01-01, expires 2028-03-16, from USA Edit this

Legal Address

103 Oak St, Apt. 2A, Springfield, IL 62701 Edit this

Mailing Address

PO Box 905, Sangamon, IL 62702, USA Edit this

Contact Info

Email Address

Home Phone Work Phone Cell Phone

Done

Employment Info

Consultant Edit this

Applicant Affiliations

Help Sample data <- Previous page Choose page Next page > Review and submit

Back
Dolores Bonner
R1
GA
Home

Brokerage Account
 Lewis, Edward

Marital Status:
 Dependents:
 Country of Citizenship:
Done

Govt issued ID

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Mailing Address

PO Box 905, Sangamon, IL 62702, USA Edit this

Contact Info

Email Address

Home Phone Work Phone Cell Phone

Done

Employment Info

Consultant Edit this

Applicant Affiliations

Is the applicant or immediate family member of the applicant affiliated with a registered broker dealer, securities exchange, or FINRA? Yes No

Is the applicant an Officer, Director, or 10% Shareholder of a Publicly Held Company? Yes No

Is the applicant an employee or related to an employee of J. P. Turner & Company, L.L.C. ? Yes No

Help Sample data <- Previous page Choose page Next page > Review and submit